

# Detecting Rates, Trends and Determinants of Caesarean Section Deliveries in Iran Using Generalised Additive Mixed Models

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### **ABSTRACT**

**Background:** The study aims to investigate the trend of caesarean section and its related factors through the recent years in Hamadan province, Iran.

**Methods:** The study data contains delivery information from hospitals in Hamadan recorded from 2001 to 2014. The data were analysed through the generalised additive mixed models using R software (v. 3.2.2).

**Results:** In this study it is shown that caesarean rate was about 42%. According to the results, the trend of caesarean deliveries almost increased in the recent years. A significant relationship was found between average age and elective caesarean rate, however, pregnancy rate did not have a significant effect on elective caesarean rate.

**Conclusion:** Caesarean section rate was higher than the limit allowed by the World Health Organization (WHO) that is 15%. Although caesarean delivery is preferred to natural vaginal delivery when the mother's or infant's life is at risk, it should not replace natural delivery for any reason. Natural vaginal delivery can be promoted by providing the knowledge about the advantages of natural delivery and complications of caesarean section.

# **INTRODUCTION**

Caesarean section, which is the most common mode of delivery is performed with the mother's choice or due to medical reasons including: repeated caesarean section, foetal distress, cephalopelvic disproportion, abnormal presentation, placenta previa, multiple births and dystocia [1-3]. When a mother's or an infant's life is in danger and the pregnant woman cannot go through a natural vaginal delivery, caesarean section can facilitate the delivery process. Yet, this surgery is accompanied by some complications such as adhesions, anaesthesia complications, severe infection, loss of blood, probability

of high-risk pregnancies in future, placenta previa, long duration of delivery, preterm birth and low birth weight [4-6]. In addition, studies have shown that the cost, particularly in the private sector, length of hospital stay, medications and complications of caesarean delivery are considerably higher compared to natural vaginal delivery [7-8]. However, Iranian women tend to choose caesarean delivery due to their fear of pain and lack of knowledge about natural and caesarean deliveries [9-10]. In the two recent decades, caesarean delivery has become so common among the women that the rate of caesarean delivery in Iran is 4 times higher than the global standard. This has increased the authorities' concern about the



growing trend of caesarean deliveries. If pregnant women are informed about the 2-7-fold higher risk of caesarean section for both the mother and the foetus as well as the 5-10-fold higher rate of disability after caesarean delivery compared to natural vaginal delivery, they may prefer natural delivery to caesarean section [11].

In spite of the policies devised by the health system, the increasing trend of caesarean deliveries in the recent 8 years shows the necessity to take further measures in this regard. According to statistics, 46.3% of the deliveries in Hamadan province were carried out through caesarean section in 2011; it shows a 4.3% increase compared to 2009 [4]. Besides, 90% of the deliveries in private hospitals were performed through caesarean surgery, while the World Health Organization (WHO) has announced that the annual rate of caesarean section must not exceed 15% of all deliveries [12].

Several studies have suggested the use of generalised linear model (GLM) and generalised linear mixed model (GLMM) to assess the trend and related factors of caesarean section delivery [13-14].

Generalised linear mixed model (GLMM) and Generalised additive mixed model (GAMM) can be used to investigate a clustered count variable such as caesarean number through time [15].

A major limitation of the GLMMs is that they use parametric functions to model covariate effects. However, sometimes the relationship between the link function of the mean response and covariates may be in a complicated form and appropriate functional forms of the covariates may be unknown. The GAMM approach relaxes the limitation that the relationship between outcome and covariates is known by allowing it to incorporate unknown smooth functions of a subset of covariates [16].

Since the rate of caesarean deliveries has changed over time and GAMM is more flexible than GLMM, GAMM was employed in this study in order to assess the trend of caesarean deliveries in the recent 14 years in Hamadan. By identifying the trend and effective factors in this phenomenon, policies can be made and necessary training can be provided in order to reduce the rate of unnecessary caesarean deliveries.

### **METHODS**

The present longitudinal study was conducted on the rate of caesarean deliveries in Hamadan province. Hamadan province with an area of 19493 km² is located in the west of Iran. According to the census of 2011, the population of this province is 1,758,268. It is the 14th largest province in Iran in terms of the number of population and the 23rd largest in terms of area [17-18]. Data for the study were obtained through the forms filled out by 15 hospitals in the province once a year from 2001 to 2014 and were given to the health deputy of the province.

The response variable in this study is the ratio of elective caesarean deliveries to all deliveries carried out in each of the hospitals in Hamadan province. Average age and pregnancy rates in the study hospitals and time (year) were considered as independent variables. In each hospital, the "pregnancy rate" is the average number of pregnancies for each woman. In order to determine the trend and effects of these factors on the rate of caesarean deliveries, Poisson regression model for caesarean rate with log link function and offset was utilised.

# Poisson additive regression model:

A Poisson additive regression model for count data is defined as follows [19-20]:

$$log(\mu_{i}) = x_{i}\beta + f_{1}(x_{1}) + f_{2}(x_{2}) + \dots + f_{p}(x_{p})$$

$$Y_{i} \sim Poisson(\mu_{i})$$

$$P(Y_{i} = y_{i}) = \frac{e^{-\mu_{i}}\mu_{i}y_{i}}{y_{i}!}$$

$$E(Y_{i}) \sim \mu_{i}$$

Where,  $Y_i$  is the response at time i,  $X_i$  is a vector of parametric covariates and  $\beta$  is the parameter vector containing regression coefficients. Function f(.) is the smooth function and should be estimated.

The rate is a count of events occurring to a particular unit of observation, divided by some measure of that unit's exposure. In the Poisson regression model for rate, an offset enters at the right-hand side of the equation as Eq. (1). Offset for the data of this study is defined as the logarithm of unit population (total delivery per hospital).

# Negative binomial additive regression model:

A popular model for handling dispersion count data is negative binomial model in which outcomes  $Y_i$  is premised to have a negative binomial distribution over Poisson in Eq. (1) as follows [21-22]:

$$\begin{split} &Y_i{\sim} NB(\mu_i) \\ &P(Y_i=y_i) = \frac{\Gamma(y_i+r)}{\Gamma(r)\Gamma(y_i+1)} (\frac{r}{\mu_i+r})^r (1-\frac{r}{\mu_i+r})^{y_i} \\ &E(Y_i) = \mu_i \end{split}$$

Where  $r^1$  is called over dispersion parameter. As  $r^1 \rightarrow 0$ , the negative binomial distribution converges to Poisson distribution.

In this study, the data were obtained from 2001 to 2014; therefore, the hospital-specific effect (random effect) could also be included in the model. However, the complete information of these years was not available for some of the



study hospitals. Yet, one of the advantages of mixed models is that the information in all the years is not necessary for all the subjects [23]. Thus, the Poisson (or negative binomial) additive mixed model for rate is as follows:

$$\log (\mu_i) = x_i \beta + f_1(x_1) + f_2(x_2) + \dots + f_n(x_n) + \text{offset+} v_i$$
 (2)

Where the random effect of  $v_{_{i}}$  is considered with normal distribution (0,  $\sigma^{2}_{_{_{V}}}$ ).In addition to the estimate of spline functions, parameters of the model include  $\beta$  and  $\sigma_{_{V}}$  which should be estimated.

The functions and parameters of the model are estimated by maximum penalised likelihood estimator. Since the penalised likelihood equations do not have a closed form, the parameters are estimated via an iterative algorithm such as Newton-Raphson algorithm.

Numerical analyses and parameter estimations were conducted with the statistical software R (version 3.2.2) using package 'gamm'.

# **RESULTS**

Overall, 412,159 deliveries were performed in Hamadan province of which 171,417 (41.6%) were carried out through caesarean section of which 35.4% are elective caesareans. The mean age of pregnant women in the study was about 26 ( $26.006\pm1.5768$ ) who have experienced a second pregnancy on the

average (1.921±0.25).

Figure 1 depicts the trend of caesarean deliveries in each hospital. Caesarean deliveries followed various trends in different hospitals. It shows that the random effect of hospitals may be a necessary variable in the model.

It seems that the trend was fixed and sometimes descendent, but followed an increasing trend afterwards. In addition, the highest rate of caesarean deliveries in all the periods was associated to a private hospital.

At first, parametric and non-parametric models were considered. The models are labelled M0 to M15 (Table 1). M0 and M8 are parametric linear models while the others are non-parametric models.

Table 2 summarises AICs for all the models shown in Table 1 for Poisson and negative binomial distributions, separately. Comparison of the AICs showed that MO is a fully parametric model with a relatively poor fit. The lowest AIC is relevant to model M9 with negative binomial distribution. The model M9 is an additive model with two parametric covariates  $\mathbf{x}_1$  (average age) and  $\mathbf{x}_2$  (pregnancy rate) and a non-parametric covariate  $\mathbf{x}_3$  (time). The results of fitting for the best model (M9) are shown in Table 3.

The results show a significant age effect, but a non-significant effect of pregnancy rate. The adjusted  $R^2$  (0.54) provides supporting evidence of the goodness of fit of this model.

The results also demonstrate that the smoothing trend

FIGURE 1. The probability of caesarean delivery over time.

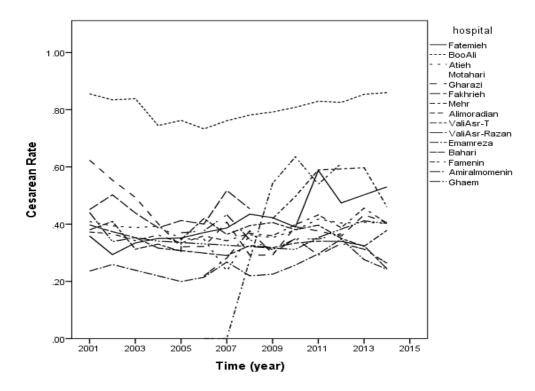




TABLE 1. Summary of equation of the models.

MODEL	EQUATION			
MODELS WITH FIXED EFFECTS:				
MO	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + \beta_{2}x_{2} + \beta_{3}x_{3} + \varepsilon_{j}$			
M1	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + \beta_{2}x_{2} + f_{3}(x_{3}) + \varepsilon_{j}$			
M2	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + \beta_{3}x_{3} + f_{2}(x_{2}) + \varepsilon_{j}$			
W3	$\log(\mu_{j}) = \beta_{0} + \beta_{2}x_{2} + \beta_{3}x_{3} + f_{1}(x_{1}) + \varepsilon_{j}$			
M4	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + f_{2}(x_{2}) + f_{3}(x_{3}) + \varepsilon_{j}$			
M5	$\log(\mu_{j}) = \beta_{0} + \beta_{2}x_{2} + f_{1}(x_{1}) + f_{3}(x_{3}) + \varepsilon_{j}$			
M6	$\log(\mu_{j}) = \beta_{0} + \beta_{3}x_{3} + f_{1}(x_{1}) + f_{2}(x_{2}) + \varepsilon_{j}$			
M7	$\log(\mu_j) = f_1(x_1) + f_2(x_2) + f_3(x_3) + \varepsilon_j$			
MODELS WITH FIXED AND RANDOM EFFECTS:				
M8	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + \beta_{2}x_{2} + \beta_{3}x_{3} + \nu_{j} + \varepsilon_{j}$			
M9	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + \beta_{2}x_{2} + f_{3}(x_{3}) + v_{j} + \varepsilon_{j}$			
M10	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + \beta_{3}x_{3} + f_{2}(x_{2}) + v_{j} + \varepsilon_{j}$			
M11	$\log(\mu_{j}) = \beta_{0} + \beta_{2}x_{2} + \beta_{3}x_{3} + f_{1}(x_{1}) + v_{j} + \varepsilon_{j}$			
M12	$\log(\mu_{j}) = \beta_{0} + \beta_{1}x_{1} + f_{2}(x_{2}) + f_{3}(x_{3}) + v_{j} + \varepsilon_{j}$			
M13	$\log(\mu_{j}) = \beta_{0} + \beta_{2}x_{2} + f_{1}(x_{1}) + f_{3}(x_{3}) + v_{j} + \varepsilon_{j}$			
M14	$\log(\mu_{j}) = \beta_{0} + \beta_{3}x_{3} + f_{1}(x_{1}) + f_{2}(x_{2}) + v_{j} + \varepsilon_{j}$			
M15	$\log(\mu_j) = f_1(x_1) + f_2(x_2) + f_3(x_3) + \nu_j + \varepsilon_j$			

in years is significant (p-value<0.0001) with the fourth order polynomial (edf = 4.23). This trend is illustrated in the left panel of Figure 2. The spline function estimate of time indicates that the probability of elective caesarean decreased from 2001 to 2008. It gradually increased from 2008 to 2013 and afterwards it stayed relatively flat from 2013 to 2014.

We fitted the model with natural delivery rate as the outcome variable rather than elective caesarean rate. Right panel of Figure 2 illustrates spline function estimate of the time for the second model. This shows a descending trend for natural delivery trend from 2006 to 2011. The trend was fixed and nearly ascending to 2012 and afterwards it increased again.

# **DISCUSSION**

There are many studies on caesarean delivery status assessment, but none of them studied the caesarean trend using additive models [24-27]. GAMs and GAMMs were used for modelling and assessing the trend in traffic-related emissions [28], analysing single case designs [29], studies of Air Pollution and Health [30], detecting contaminated birth dates [31] and etc.

The additive mixed models involve repeated measures of the same subject over time with an unknown function of time. The main advantage of these models over others is the ability to show the trend and pattern of a variable over time by controlling the correlation between subjects at the same time.



TABLE 2. Aikaike's information criterion (AIC) for models.

MODEL	AIC		
	POISSON	NEGATIVE BINOMIAL	
MO	14280	2367	
M1	559.2222	610.9346	
M2	577.5756	604.0559	
M3	592.9500	604.0559	
M4	561.2530	621 <i>.75</i> 08	
M5	561.2221	613.6256	
M6	594.9500	606.0559	
M7	563.2530	622.8298	
M8	9151.693	1642.064	
M9	509.5304	443.7657	
M10	561.2342	504.3322	
M11	561.2343	504.3322	
M12	511.5304	445.7655	
M13	511.5304	445.7656	
M14	563.2343	506.3322	
M15	513.5643	447.7658	

TABLE 3. Summary statistics from fitting the best model.

Parametric coefficients	Estimate		Std. Error	t value	p-value			
B <sub>o</sub> (intercept)	-7.360	)9126	2.0282344	-3.629222	0.0004			
B <sub>1</sub> (average age)	0.1888304		0.0760883	2.481729	0.0142			
B <sub>2</sub> (pregnancy rate)	-0.583	36626	0.3734005	-1.563101	0.1202			
Approximate significance of smooth terms:								
	edf		Ref.df	F	p-value			
S (year)	4.23		4.23	13.28	<0.001			
R-sq. (adj) = 0.54		Scale est. = 0.5395 N=16		N=163				
AIC		BIC		Loglik				
443.7657		465.422		-214.8829				

Similar to our findings, Bettegowda's study reported a significant relation between average age and the elective caesarean [32]. This is contrary to the result obtained by Davari (2014) [33].

In this study, about 42% of total deliveries were caesarean section delivery. The rate of caesarean section delivery in Iran is so much higher than the upper limit announced by the WHO (15%). In the study by Ghotbi (2014) on Iranian pregnant women from 2011 to 2012, caesarean prevalence rates were about 83% [34] and in another study in Iran, it was 27% [33].

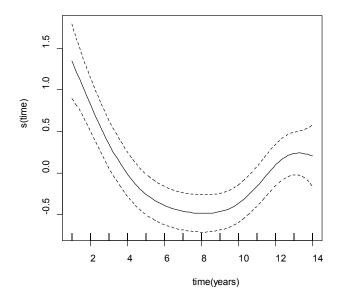
The results showed that elective caesarean deliveries showed an almost increasing trend from 2008 to 2013 and after 2013, it was fixed and slightly descending. It

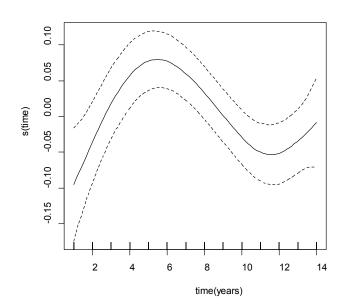
seems that the implemented policies were effective in order to increase the rate of vaginal delivery in the two recent years. However, these policies could not decrease the trend of elective caesarean section. It shows a decrease in the rate of caesarean with medical reasons. Thus, further measures should be taken in order to provide women with the necessary information and training about the advantages of natural vaginal delivery and complications of caesarean delivery.

In spite of the fact that caesarean delivery is superior to natural vaginal delivery in the case where the mother's and baby's lives are in danger, it has a large number of complications [4-5,35] and should not replace natural delivery for any good reason. Overall, by providing



FIGURE 2. Regression spline functions: the left panel depicts an estimate of the time function of the model with the elective caesarean rate outcome; the right panel displays an estimate of the t function of model with natural delivery rate outcome.





the society with the knowledge of the advantages of natural delivery and complications of caesarean section, eliminating individuals' wrong attitudes regarding the advantages of caesarean delivery, eliminating the mothers' fear and anxiety towards natural delivery and investigating and preventing the physician's reasons for recommendation of caesarean delivery, unnecessary caesarean sections can be avoided and natural vaginal delivery can be promoted in the society.

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